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#32172\$

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/928,609	
	Filing Date	August 13, 2001	
	First Named Inventor	Eric O. Bodnar	
	Group Art Unit	2172	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	13	Attorney Docket Number	SF/0018.06

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Letter to Official Draftsperson
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Notice to File Corrected
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Application Papers
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	<b>RECEIVED</b> FEB 27 2002 Technology Center 2100
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Darryl A. Smith, Reg. No. 37,723
Signature	
Date	12/12/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 12/13/01			
Typed or printed name	Darryl A. Smith		
Signature		Date	12/12/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

09928609-011602



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PATENT  
Docket No. SF/0018.06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric O. Bodnar et al.

Serial No.: 09/928,609

Filed: August 13, 2001

For: Data Processing Environment with  
Methods Providing Contemporaneous  
Synchronization of Two or More Clients

Examiner: Not yet assigned

Art Unit: 2172

LETTER TO OFFICIAL DRAFTSPERSON

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

**FEB 27 2002**

**Technology Center 2100**

Sir:

Applicant has received a Notice to File Corrected Application Papers, which was mailed on 08/28/2001. Applicant has enclosed a copy of this Notice. The Notice required substitute drawings in compliance with 37 CFR 1.84. In response thereto, Applicant encloses herewith a new set of Formal Drawings for the above-referenced patent application. Applicant submits that the enclosed drawings comply with 37 CFR 1.84. Applicant has also enclosed a Petition for a two month extension of time.

09/928609-011602

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,609	08/13/2001	Eric O. Bodnar	SF/0018.06

CONFIRMATION NO. 7909

FORMALITIES LETTER



\*OC000000006486525\*

Darryl A. Smith  
Legal Department  
1700 Green Hills Rd.  
Scotts Valley, CA 95066

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FEB 27 2002

Technology Center 2100

Date Mailed: 08/28/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/928,609-011602

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Receipt

PTO/SB/21 (08-00)

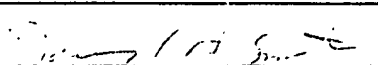
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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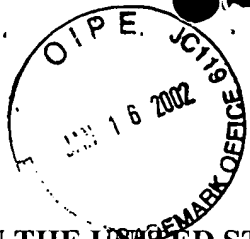
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/928,609	
	Filing Date	August 13, 2001	
	First Named Inventor	Eric O. Bodnar	
	Group Art Unit	2172	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	6	Attorney Docket Number	SF/0018.06

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Correction, Copy of Filing Receipt, Copy of Application Transmittal
Remarks		<b>RECEIVED</b> JAN 29 2002 Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Darryl A. Smith, Reg. No. 37,723
Signature	
Date	12/12/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____			
Typed or printed name	Darryl A. Smith		
Signature	_____	Date	_____

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PATENT  
Docket No. SF/0018.06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric O. Bodnar et al.

Serial No.: 09/928,609

Filed: August 13, 2001

For: Data Processing Environment with  
Methods Providing Contemporaneous  
Synchronization of Two or More Clients

Assistant Commissioner for Patents  
Washington, D.C. 20231

Examiner: Not yet assigned

Art Unit: 2172

REQUEST FOR CORRECTION OF  
FILING RECEIPT

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Technology Center 2100

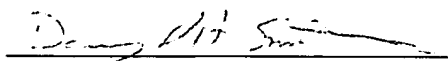
Sir:

Applicant received a Filing Receipt in this case that was mailed on 08/28/2001. Applicant hereby requests a correction to the Filing Receipt. In the "Domestic Priority data as claimed by applicant" section of the Filing Receipt, the first line should read "THIS APPLICATION IS A CONTINUATION OF 09/136,212 08/18/1998 PAT 6,275,831." Specifically, this case is a continuation application of application serial no. 09/136,212, and not a "CIP." Applicant has included herewith a copy of the Filing Receipt, showing the requested change, and a copy of the Utility Patent Application Transmittal, showing that a continuation application was requested.

If there are any question regarding the requested change, Applicant's attorney may be reached at (831) 461-5535.

Respectfully submitted,

Date: December 12, 2001



Darryl A. Smith; Reg. No. 37,723  
Attorney of record

Legal Department  
Starfish Software, Inc.  
1700 Green Hills Road  
Scotts Valley, CA 95066  
(831) 461-5535 - voice  
(831) 461-5900 – fax

SF/0018.06



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/928,609	08/13/2001	2172	710	SF/0018.06	7	19	1

Darryl A. Smith  
Legal Department  
1700 Green Hills Rd.  
Scotts Valley, CA 95066

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Technology Center 2100

CONFIRMATION NO. 7909

FILING RECEIPT



\*OC00000006486524\*

Date Mailed: 08/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Eric O. Bodnar, Capitola, CA;  
Chris LaRue, Santa Cruz, CA;  
Bryan Dube, Santa Cruz, CA;  
Shekhar Kirani, San Jose, CA;  
Sethuraman Suresh, Santa Cruz, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A <sup>CONTINUATION</sup> OF 09/136,212 08/18/1998 PAT 6,275,831  
WHICH CLAIMS BENEFIT OF 60/069,731 12/16/1997  
AND CLAIMS BENEFIT OF 60/094,972 07/31/1998  
AND CLAIMS BENEFIT OF 60/094,824 07/31/1998

**Foreign Applications**

If Required, Foreign Filing License Granted 08/27/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

Data processing environment with methods providing contemporaneous synchronization of two or more clients

**Preliminary Class**

707

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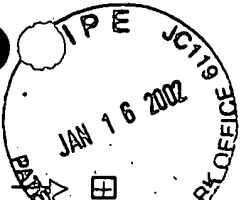
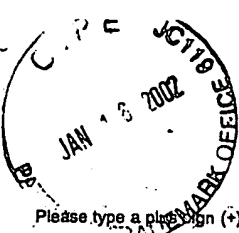
**Data entry by : TRAN, TUAN**

**Team : OIPE**

**Date: 08/28/2001**







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PTO/SB/05 (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SF/0018.06.
First Inventor	Eric O. Bodnar
Title	Data Processing Environment with Methods Providing Contemporaneous Synchronization of Two or More Clients
Express Mail Label No.	EF412477796US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SF/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 50]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
- Oath or Declaration [Total Pages 4]
  - ☐ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))
  - ☒ (for continuation/divisional with Box 18 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

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ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09/136,212

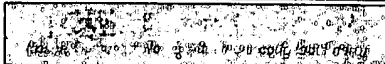
Prior application information:

Examiner Fleurantin, J.

Group Art Unit: 2172

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Darryl A. Smith		
	Legal Department		
Address	1700 Green Hills Rd.		
City	Scotts Valley	State	CA
		Zip Code	95066
Country	USA	Telephone	831-461-5535
		Fax	831-461-5900

Name (Print/Type)	Darryl A. Smith	Registration No. (Attorney/Agent)	37,723
Signature		Date	8/13/01

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